# Form ADV Part 2B:

**Brochure Supplement** 

# Richard F. Dunn Jr., CFP®, AAMS®, AIF®

Investment Adviser Representative 904 Delaware Avenue St. Paul, MN 55118 612.436.3770

# **AdvisorNet Wealth Management**

701 Fourth Avenue South, Suite 1500 Minneapolis, MN 55415 www.advisornetwm.com 612.347.8600

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This brochure supplement provides information about Richard F. Dunn Jr. that supplements the AdvisorNet Wealth Management disclosure brochure. You should have received a copy of that brochure. Please contact AdvisorNet's Chief Compliance Officer at 612.347.8600 or advisorycompliance@advisornet.com if you did not receive AdvisorNet's brochure or if you have questions about the content of this supplement.

Additional information about Richard F. Dunn Jr. is also available on the SEC's website: www.adviserinfo.sec.gov

# Item 2: Educational Background and Business Experience

### Name: Richard F. Dunn Jr. Year of Birth: 1962

#### Education:

Degree/Course of Study	Educational Institution Name/Location
Bachelor of Science/Agriculture &	University of Illinois/Champaign, IL
Education	

### Professional Designation(s):

Designation	Minimum Qualifications
Certified Financial Planner, CFP®	Education: CFP <sup>®</sup> professionals must develop their theoretical and practical financial planning knowledge by completing a comprehensive course of study at a college or university offering a financial planning curriculum approved by CFP Board. Other options for satisfying the education component include submitting a transcript review or previous financial planning-related course work to CFP Board for review and credit, or showing the attainment of certain professional designations or academic degrees.
	Examination: CFP <sup>®</sup> practitioners must pass a comprehensive two-day, 10-hour CFP <sup>®</sup> Certification Examination that tests their ability to apply financial planning knowledge in an integrated format. Based on regular research of what planners do, the exam covers the financial planning process, tax planning, employee benefits and retirement planning, estate planning, investment management and insurance.
	Experience: CFP <sup>®</sup> professionals must have three years minimum experience in the financial planning process prior to earning the right to use the CFP <sup>®</sup> certification marks. As a result, CFP <sup>®</sup> practitioners possess financial counseling skills in addition to financial planning knowledge.
	Ethics: As a final step to certification, CFP <sup>®</sup> practitioners agree to abide by a strict code of professional conduct, known as CFP Board's Code of Ethics and Professional Responsibility that sets forth their ethical responsibilities to the public, clients and employers. CFP Board also performs a background check during this process, and each individual must disclose any investigations or legal proceedings related to their professional or business conduct.
	Once certified, CFP <sup>®</sup> practitioners are required to maintain technical competence and fulfill ethical obligations. Every two years, they must complete a minimum 30 hours of continuing education to stay current with developments in the financial planning profession and better serve clients. Two of these hours are spent studying or discussing CFP Board's Code of Ethics or Practice Standards. In addition to the biennial continuing education requirement, all CFP <sup>®</sup> practitioners voluntarily disclose any public, civil, criminal or disciplinary actions that may have been taken against them during the previous two years as part of the renewal process.
Accredited Asset Management Specialist, AAMS®	Successful completion of a 120–150 hour course of study encompassing investments, insurance, tax, retirement, and estate planning issues.
	Passing grade on a comprehensive examination.
	Adherence to Standards of Professional Conduct.
	Completion of 16 hours of continuing professional education every 2 years.
	The AAMS marks are held by the College of Financial Planning.
Accredited Investment Fiduciary <sup>®</sup> , AIF <sup>®</sup>	The Accredited Investment Fiduciary <sup>®</sup> program is offered through the Center for Fiduciary Studies. Advisors who have earned this designation are able to immediately show that they are interested in and working towards the best interests of their clients. As a prerequisite for the course the advisor must meet a point-based threshold based on a combination of education,

relevant industry experience and/or professional development. In order to obtain this designation an advisor must complete a web-based program or Capstone program and an exam. In order to maintain this professional designation an advisor must complete 6 hours of continuing education over a 12-month cycle.

#### **Professional Experience:**

Date Range	Title/Position	Company
2013-Present	Principal Financial Planner	Dunncreek Advisors LLC
2013-Present Investment Adviser Representative	Investment Adviser Representative	AdvisorNet Financial, Inc., dba AdvisorNet Wealth
		Management
2011-2013	Investment Advisor Representative	Ridgeway Conger Advisory Services (RCAS)
2011-2013	Senior Financial Planner	Capital Wealth Management
2009-2011	Financial Advisor	Ameriprise Financial
2002-2009	Financial Advisor	Edward D. Jones
2000-2001	Account Supervisor	Charlston/Orwig
1994-2000	Owner	Dunncreek Communications

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of Richard F. Dunn Jr.

#### **Item 4: Other Business Activities**

Richard F. Dunn Jr. is also the President of the White Bear Avenue Business Association, a non-profit business association serving business owners and the community.

### **Item 5: Additional Compensation**

Other than advisory fees from AdvisorNet Wealth Management, Richard F. Dunn Jr. does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through AdvisorNet Wealth Management.

#### Item 6: Supervision

The Firm supervises its investment adviser representative advisory activities according to various functional areas, appointing one or more individuals as designated supervisors for each area. The Firm monitors a supervised person's investment advice by reviewing an account's investment parameters, allocations and trading activity, among other things. The Firm's Chief Compliance Officer (CCO) administers the Firm's compliance program. Any questions related to the Firm's supervision of Richard F. Dunn Jr. may be directed to CCO Tom Rippberger at 612.347.8681 or at advisorycompliance@advisornet.com.

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